会议日程 Conference Agenda

5月 31 日周日 Sunday May 31 st			
13:00 - 18:00	会议注册,教学楼大厅		
	Registration, Entrance Hall, Teaching Building		
6月1日周一 Monday June1 st			
8:00-8:30	会议注册,教学楼大厅		
0.00-0.30	Registration, Entrance Hall, Teaching Building		
	开幕式		
	Opening Ceremony/Welcome Address		
8:30-8:45	Chair: Yonghui Liu (刘永辉), Shanghai University of International Business and Economics, China		
	教学楼 115 室		
	Room 115, Teaching Building		
	合影		
0.45 0.00	Take a group photo		
8:45-9:00	教学楼外		
	Outside the Teaching Building		
	主旨演讲 1		
	Keynote Speech 1: New Financial Risk Ratios and Portfolio		
	Growth Angles		
0.00 0.45	Karl Gustafson, University of Colorado, USA		
9:00 - 9:45	Chair: Dietrich von Rosen, Swedish University of Agricultural		
	Sciences, Sweden		
	教学楼 115 室		
	Room 115, Teaching Building		
9:45-10:00	茶歇 Coffee break		
	主旨演讲 2		
	Keynote Speech 2: A Semiparametric Trajectory Model for		
	Finnish Earnings Data		
10:00 - 10:45	Tapio Nummi, University of Tempere, Finland		
	Chair: Simo Puntanen, University of Tempere, Finland		
	教学楼 115 室		
	Room 115, Teaching Building		

10:45-11:30	主旨演讲 3 Keynote Speech 3: Modeling and Forecasting of Consumer Price Index in China Song Xi Chen(陈松蹊), Peking University, China Chair: Shuangzhe Liu(刘双喆), University of Canberra, Australia 教学楼 115 室 Room 115, Teaching Building	
11:30 – 13:00	午 餐, 利嘉宾馆 Lunch, Rich Garden Hotel	
13:00 - 13:45	主旨演讲 4 Keynote Speech 4: Measuring Nutrition, Health and Poverty in Small Areas – How Low Can You Go? Stephen Haslett, Massey University, New Zealand Chair: Tõnu Kollo, University of Tartu, Estonia 教学楼 115 室 Room 115, Teaching Building	
13:45-14:30	主旨演讲 5 Keynote Speech 5: Semiparametric Transformation Models for Semicompeting Survival Data Huazhen Lin(林华珍), Southwestern University of Finance and Economics, China Chair: Julia Volaufova, LSU Health New Orleans, USA 教学楼 115 室 Room 115, Teaching Building	
14:30-14:45	茶歇 Coffee break	
14:45-15:30	主旨演讲 6 Keynote Speech 6: Continuously Dynamic Additive Models for Functional Data Zhongyi Zhu(朱仲义), Fudan University, China Chair: Zhongxing Ye(叶中行), Shanghai Jiao Tong University, China 教学楼 115 室 Room 115, Teaching Building	
15:30-16:45	主旨演讲 7 Keynote Speech 7: Has China Moved Up the Global Value Chain? Zhiguo Xiao(肖志国), Fudan University, China Chair: Yonghui Liu(刘永辉), Shanghai University of International Business and Economics, China 教学楼 115 室 Room 115, Teaching Building	

主旨演讲 Keynote Speeches

Keynote Speech 1: New Financial Risk Ratios and Portfolio Growth

Angles

Karl Gustafson

Department of Mathematics, University of Colorado, Boulder, Colorado, USA

Abstract

Randomness in Financial Markets has been recognized for over a century: Bachelier(1900), Cowles(1932), Kendall(1953), Samuelson(1959). Risk thus enters into efficient Portfolio design: Fisher(1906), Williams(1936), Working(1948), Markowitz(1952). Reward versus Risk decisions then depend upon Utility to the Investor: Bernoulli(1738), Kelly(1956), Sharpe(1964), Modigliani(1997). Returns of a Portfolio adjusted to Risk are measured by a number of Ratios: Treynor, Sharpe, Sortino, M2, among others. I will propose a refinement of such ratios. This possibility was mentioned in my recent book: Antieigenvalue Analysis, World-Scientific (2011). The result is a new set of growth-to-return risk-based financial ratios of ratios..

Biography

Karl Gustafson is Professor of Mathematics at the University of Colorado at Boulder, USA. He has published more than 280 papers, with wide interests in pure and applied mathematics. He is the author of the two recent books The Crossing of Heaven: Memoirs of a Mathematician (Springer, 2012) and Antieigenvalue Analysis, with Applications to Numerical Analysis, Wavelets, Statistics, Quantum Mechanics, Finance, and Optimization (World-Scientific, 2012).

Keynote Speech 2: A Semiparametric Trajectory Model for Finnish Earnings Data

Tapio Nummi

School of Information Sciences, University of Tampere, Finland

Abstract

This talk focuses on trajectory analysis that applies finite mixture modeling to longitudinal data. The talk introduces new modeling tools using semiparametric regression methods. A normal mixture is proposed such that the model contains one smooth term and a set of possible linear predictors. Model terms are estimated using a penalized likelihood method with the EM-algorithm. The talk also introduces a computationally appealing alternative that provides an approximate solution using ordinary linear model methodology developed for mixture regression and trajectory analysis. A real data of Finnish wage earners are used to illustrate the methodology.

Biography

Tapio Nummi is an adjunct professor at the School of Information Sciences, University of Tampere. He has been a principal investigator in several research projects of the Academy of Finland associated with Statistics and Forestry. His field of application in biostatistics includes forestry, health, medicine and social sciences. His research interest in statistics includes methods for the analysis of longitudinal data, growth curves, mixed models, nonparametric regression, mixture regression and trajectory analysis. He has contributed 50 publications in referred journals and books.

Keynote Speech 3: Modeling and Forecasting of Consumer Price Index in China

Song Xi Chen

Department of Business Statistics and Econometrics, Guanghua School of Management and Center for Statistical Science, Peking University, China

Abstract

This paper starts with a detailed analysis on the micro-dynamic structure of the Consumer price index (CPI) series from China, revealing the seasonality and the effect of the Spring festival on the price index. We then show that the CPI from China is quite predictable in terms of the simulated out-of-the sample prediction error and the real forecasts.

Biography

Professor Chen Songxi joined the Guanghua School of Management in May 2008 and is now Chair of the Department of Business Statistics and Econometrics. He also serves as Director of Center for Statistical Science at Peking University. Chen graduated from Beijing Normal University with bachelor's degree in mathematics and a master's degree in Mathematical Statistics. In 1990 he received another master's degree in Statistics and Operations Research from Victoria University of Wellington. In 1993, he obtained a Ph.D in Statistics from Australian National University.

His research interests are Empirical likelihood: Second order properties and various applications; Analysis on Missing data; Multiple System Survey for US Census; and inference for stochastic processes, especially with regards to diffusion processes as well as inference for high dimensional data. He teaches Special Topics in Statistics and Advanced Econometrics in PhD program.

Keynote Speech 4: Measuring Nutrition, Health and Poverty in Small Areas – How Low Can You Go?

Stephen Haslett Institute of Fundamental Sciences, Massey University, New Zealand

Abstract

Efficient targeting of aid relies on detailed information. The complication is that even large scale national sample surveys are usually not accurate enough to provide this detail. Small area estimation is a statistical technique developed over the last twenty years or so which can improve accuracy of surveys by using statistical modelling. A particular type of small area estimation technique, which is generically called poverty mapping and links a survey with a census, is now often used for estimating and mapping poverty estimates at a fine level. This method has now been applied in more than 70 countries. The application of this method will be discussed for Bangladesh, Philippines, Nepal and Cambodia. Where there is no recent census, alternative small area estimation methods exist. Small area estimation can also be extended, for example to estimating malnutrition including stunting, underweight and wasting in children under five years of age, and health for example diarrhea in children under five. This general talk covers context, what small area estimation is and the level at which it can work, and why it is useful.

Biography

Dr Stephen Haslett is Professor of Statistics in the Institute of Fundamental Sciences and at Centre for Public Health Research, Massey University. He is a Fellow of the Royal Statistical Society and a Chartered Statistician, and has published over 100 academic papers and has written more than 120 research and consulting reports. He has published papers in Mathematical Statistics, Medicine, Health Sciences, Psychology, Human Development, Political Science, History, the Humanities, Social Sciences, Zoology, Botany, Marine Biology, Ecology, Economics, Veterinary Science, Horticulture, Agriculture, Labour Relations, Financial Auditing, Criminology, Geology, Epidemiology, Law, Business, Developmental Psychology, Sociology, Linguistics, and Education. Over the last ten years he has been principal statistician in a range of large scale statistical modeling projects for small area estimation of poverty and of undernutrition in children for the United Nations in Bangladesh, Bhutan, Cambodia, Indonesia, Nepal, Philippines, and Timor-Leste.

Keynote Speech 5: Semiparametric Transformation Models for Semicompeting Survival Data

Huazhen Lin

School of Statistics, Southwestern University of Finance and Economics, China

Abstract

Semicompeting risk outcome data, e.g. time to disease progression and time to death, are commonly collected in clinical trials. However, analysis of these data is often hampered by a scarcity of available statistical tools. As such, we propose a novel semiparametric transformation model that improves the existing models in the following ways. First, it estimates regression coefficients and association parameters simultaneously. Second, the measure of surrogacy, for example, the proportion of the treatment effect that is mediated by the surrogate and the ratio of the overall treatment effect on the true end point over that on the surrogate end point, can be directly obtained. We propose a two-stage estimation procedure for inference and show that the proposed estimator is consistent and asymptotically normal. Extensive simulations demonstrate the valid usage of our method. We apply the method to a multiple myeloma trial to study the impact of several biomarkers on patients' semicompeting outcomes, namely, time to progression and time to death.

Biography

Huazhen Lin is a professor and the Director of Center of Statistical Research in Southwestern University of Finance and Economic. She obtained her bachelor and master degrees from Sichuan University and Doctor's degree from West China School of public health in 1999. She worked as a post-doctor fellow in Department of Biostatistics, University of Washington from 2003-2005. The main research interests of Professor Lin are Transformation Model, Nonparametric Method and etc. She has published more than 25 papers in high level journals such as Annals of Statistics, JRSSB. Biometrika and Biometrcs. She has been the principle investigator in four projects supported by National Natural Science Foundation of China. She was also supported by the New Century Excellent Talents Program in 2010, and obtained National Distinguished Young Scholar Award in 2011. She is a editorial member of Journal of Applied Statistics and Management and serves for several other statistical organizations. She was the Associate Editor of Biometrics in 2014 and Scandinavian Journal of Statistics in 2013. She also was the academic and technical leader of Sichuan Province.

Keynote Speech 6: Continuously Dynamic Additive Models for Functional Data

Zhongyi Zhu
Department of Statistics, Fudan University, China

Abstract

In this article, we propose continuously dynamic additive models in which both the predictors and response are random functions. In continuously dynamic additive modeling, we assume that additivity occurs in the time domain rather than in spectral domain, and characterize this model through a time-varying smooth surface that reflects the underlying nonlinear dynamic relationship between the functional predictors and functional response. We use tensor product basis expansions with varying coefficient functions to approximate the time-varying smooth surface, and then estimate varying-coefficient functions in the standardization approximating model by combining functional principal components analysis with penalized least squares method. In a theoretical investigation, we show that the predictions obtained from the fitted continuously dynamic additive models are asymptotically consistent under some mild conditions. Simulation studies are conducted to investigate the finite sample performance of the proposed method. In the end, the proposed model and estimation method are applied to the analysis of intra-day volatility patterns of the S&P 500 index.

Biography

Zhongyi Zhu is a professor of statistics in the School of Management, Fudan University. He obtained his Doctor's degree from Southeast University in 1999. His research interests are Nonparametric Models, Semiparametric Models, Regression Diagnostics, Longitudinal Models, etc. He has been the principal investigator in at least 5 projects supported by National Natural Science Foundation of China.

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Keynote Speech 7: Has China Moved Up the Global Value Chain?

Zhiguo Xiao

Department of Statistics, Fudan University, China.

Abstract

The ICT (Information and Communication Technology) Revolution in the late 20th century has greatly facilitated instant long distance information sharing and coordination. Consequently, firms in developed countries began to offshore some of their production stages to developing countries in order to reduce labor cost. The international production sharing generates the global value chain. In this study, we investigate how China has changed from the perspective of global value chain, using a newly constructed international input-out database. We will also discuss an on-going APEC TiVA database construction project led by China and US.

Biography

Zhiguo Xiao is now Associate Professor of Statistics in the School of Management, Fudan University. Dr. Xiao obtained his BS in Economics from Wuhan University, and PhD in Statistics from the University of Wisconsin-Madison. His research covers statistical theory (panel data econometrics and missing data) and application (in economics and management). He has published a number of papers in international journals of statistics, economics and management. He is the deputy director of the Global Investment and Trade Institute of Fudan University, and a member of APEC TiVA Database Project's Key Experts team. He also serves as honorary advisor in Big Data Analytics for GTA Information Technology Co., Ltd, and honorary advisor for JD Research Institute.

会议通讯录

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上海对外经贸大学

上海对外经贸大学前身为上海对外贸易学院,始建于1960年,是原国家对外贸易部的部属高校,1994年9月,学校划归上海市人民政府管理。2013年4月,经教育部批准学校更名为上海对外经贸大学。

学校现有经济学、管理学、文学、法学、理学5个学科门类,设有国际经贸学院、国际商务外语学院、金融管理学院、法学院、工商管理学院、会计学院、会展与旅游学院、商务信息学院、国际交流学院、继续教育学院、WTO研究教育学院、国际教育学院等学院。目前学校共有32个本科专业(含方向),4个一级学科硕士点,28个二级硕士学位授予点。学校建有国家级实验教学示范中心、国家级人才培养模式创新实验区、国家级本科大学生创业教育基地。国际经济与贸易、英语、金融学、物流管理、工商管理为教育部特色专业建设点,财务管理和国际贸易实务为国家级精品课程,市场营销学、证券投资分析和商业物流学为国家级双语教学示范课程。工商管理系列课程教学团队为国家级教学团队。学校现有教职工千余名,教授和副教授400余名,研究生、本专科学生万余名,各类留学生2000余名。

学校坚持"以学生成才为本"的办学理念,注重培养学生"知识、能力、素质"协调发展,提升学生国际交往能力与专业实践能力,就业能力与创业能力,职业素养与社会责任意识,形成特色鲜明的国际经贸应用型人才培养模式。建校以来,学校为国家培养的国际经贸专业人才中,许多人已成为我国对外经贸企业界的领袖人物、跨国公司或涉外企业的精英骨干,在世界经贸舞台发挥才干。建校以来,历届毕业生创业后向社会提供的就业岗位数已经超过了历届学生总人数。近年来,学生在国内外举办的各类专业赛事中多次夺魁。

学校坚持科研为社会经济服务的方向,近年来,教师承担国家级、省部级项目数百项。学校在我国恢复"关税与贸易总协定"和加入世界贸易组织(WTO)的工作中做出了积极的贡献。学校发起成立了"关贸总协定上海研究中心"、"世界贸易组织上海研究中心"、"世贸组织贸易政策审议中心"、"WTO 争端解决机制研究中心"等机构,是世界贸易组织首批教席院校之一。学校获准建立上海市普通高校人文社科重点研究基地、上海市社会科学创新研究基地——上海发展战略研究所、上海国际贸易中心战略研究院等研究平台,学校专家被批准"上海市社会科学创新研究基地"和"上海发展战略研究所工作室"的首席专家和领军人物,系统开展国际贸易领域的高水平研究,服务于上海和国家的对外经贸事业。

学校积极开展国际交流与合作,先后与美国、英国、澳大利亚加拿大、德国、法国等80余所海外高校和机构建立了合作关系,与澳大利亚、英国、加拿大、德国的高校建有12个中外合作办学项目,在克罗地亚和斯洛文尼亚建有2所孔子学院,学校是中国政府奖学金生接收院校以及上海市政府奖学金生接收院校。

看今朝鲲鹏正举,新征程铿锵前行。学校将继续贯彻落实《国家中长期教育改革和发展规划纲要》(2010-2020年),承担起人才培养、科学研究、社会服务、文化传承创新的历史使命,立足上海、服务全国、面向世界,努力把学校建设成为以国际经贸学科为特色,多科性、国际化、高水平的对外经贸大学。

Shanghai University of International Business and Economics

Founded in 1960, the Shanghai University of International Business and Economics (former Shanghai Institute of Foreign Trade) was affiliated to the Ministry of Foreign Trade and Economic Cooperation (now the Ministry of Commerce) until September 1994, since when it has been under the administration of the Shanghai Municipal People's Government. In April 2013, it was granted by the Ministry of Education (MOE) a university status and renamed the Shanghai University of International Business and Economics (SUIBE).

SUIBE consists of 12 schools, i.e. the School of Business, School of Foreign Languages, School of Finance, School of Law, School of Management, School of Accounting, School of Tourism and Event Management, School of Business Information, School of International Studies, School of Continuing Education, School of WTO Research and Education, and School of International Education. SUIBE offers 32 undergraduate programs. Master Degrees are conferred in 4 of its first-level disciplines and 28 of its second-level disciplines. There are over 1000 faculty and staff members working at SUIBE and above 400 of them are professors and associate professors. More than 10,000 students are studying in the undergraduate and graduate programs and some 2000 international students in various programs.

Putting students first, SUIBE gives top priority to the development of students and attaches equal importance to their knowledge acquisition, skills building, and qualities upbringing. It is guided by such education philosophy that SUIBE is committed to improving students' cross-cultural communication abilities and practical skills in their professional fields. Jobs created by SUIBE alumni who started up their own businesses have outnumbered SUIBE's graduates put together in the years since its establishment.

Dedicated to serving social and economic needs through scientific research, SUIBE faculty members have undertaken hundreds of research projects funded at the state and ministerial level. SUIBE made positive contributions to China's resumption of its contracting party status to the GATT and China's accession to the WTO. SUIBE is among the first institutions across the world selected for hosting a WTO Chair.

SUIBE has been an active player in international exchanges and cooperation in education and established extensive partnerships with its overseas counterparts from more than 80 countries. SUIBE offers 12 joint programs in cooperation with institutions of higher education in Australia, the United Kingdom, Canada, and Germany. Two Confucius Institutes have been established in Croatia and Slovenia respectively.

Taking talent development, scientific studies, social services, and cultural continuity and innovation as its missions, SUIBE is making every effort to build itself into an internationalized cutting-edge university with a diversity of disciplines while building on its traditional strengths in international business and economics so as to make contributions to the development of Shanghai, China and the world at large.

上海对外经贸大学商务信息学院

商务信息学院现有统计学、经济统计学、信息管理与信息系统3个本科专业和数量经济学、统计学、商务信息管理、国际商务(国际商务统计方向)硕士点,正在筹建统计学一级学科硕士点,学院下设统计系、信息管理系、应用数学系,以及国际商务统计研究所、商务智能与信息决策研究所和商务信息实验室。

学院拥有一支师德高尚、学术水平高、教学经验丰富、层次结构合理的专任教师队伍, 其中65%以上具有副教授以上职称,75%以上具有博士学位。学院教师承担多项国家级、省部 级课题、横向合作研究课题和上海市高校085工程项目。

学院着力为社会培养外语熟练,掌握贸易、管理知识的商务统计和信息管理专业的复合型人才。学院以学生成才为本,通过实行本科生导师制、专业班主任制、学业预警制等机制,实施"精培养、树牌子"的人才培养战略。在学校已有国际交流的基础上,先后与英国、澳大利亚、台湾等高校进行合作,每年都有优秀学生赴海外高校学习交流。学院先后与上海浦东软件园、SPSS China、塔塔信息技术(中国)有限公司、上海信息投资咨询有限公司和中银国际期货公司等多家机构合作建立了实习基地。

由于我院毕业生具备了扎实的专业基础、熟练的英语和计算机应用能力,特别擅长定量分析,因此适应面宽广、就业前景好、质量高,毕业生就业率近年来均为100%,就业流向主要为商业银行、金融企业、咨询决策机构、信息技术企业、会计事务所、跨国集团等。

School of Business Information, SUIBE

School of Business Information (SBI) of SUIBE was established in 2008. Now SBI offers three undergraduate programs and five graduate programs. The undergraduate programs are Statistics, Economic Statistics and Information Management & Information Systems. The master programs are Mathematical Economics, Statistics, Business Information Management, and Master of International Business (Direction in Statistics). The school consists of the Department of Statistics, the Department of Information Management, the Department of Applied Mathematics and the Business Information Lab.

The faculty members of SBI are experienced in teaching and research. About 65% of the faculty members are associate professors or full professors. 75% of the faculty members hold a doctor's degree. The faculty members have undertaken a number of state, provincial, or enterprise-oriented research projects.

The aim of SBI is to train students as professionals in business statistics and information management who have mastered good skills in trade, management and foreign language. SBI has established collaboration programs with universities in Britain, Australia and Taiwan(China), which enroll a number of students each year. SBI also offers internship programs collaborating with renowned companies, such as SPSS China, TATA Consulting Services, Pudong Software Park, etc.

Since the graduates of SBI have acquired solid professional knowledge, fluent English, good computer and analytical skills, the employment rates of SBI graduates have been 100% in recent years. The graduates usually find jobs in banks, financial firms, consulting services, IT enterprises, accounting firms and other international corporations.

会议场地及交通

- 1. 本次会议地点为上海对外经贸大学古北校区教学楼大厅(古北路 620 号)。会议地点为教学楼 115 教室。
- 2. 本次论坛不收注册费,对已注册的参会专家提供免费午餐,请凭餐券用餐,一券一人。 用餐地点为利嘉饭店(古北校区门外)。

3. 交通方式:

- a) 地铁 2 号线至娄山关路下,从 3 号口出站后沿天山路向西至古北路路口向南。步行约 900 米, 11 分钟。或者地铁 10 号线至伊犁路站下,从 4 号口出站后沿虹桥路向西至古北路路口向北。步行约 1.3 公里, 16 分钟。
- b) 公交: 158 路、69 路, 古北路仙霞路站。
- c) 自火车站/机场

火车站/机场	公共交通	出租车
虹桥火车站/虹桥机场	地铁 2 号线	约 20 分钟, 40 元
浦东国际机场	地铁 2 号线	约 1 小时, 200 元
上海火车站	地铁 1 号线在人民广场	约 20 分钟, 40 元
	换乘 2 号线	
上海南站	地铁 3 号线在中山公园	约 17 分钟, 35 元。
	换乘 2 号线	

Conference Venue

The Conference will be arranged at **Shanghai University of International Business and Economics (SUIBE)**

The Conference will be held at the Gubei Campus of SUIBE (No. 620, Gubei Rd.).

- 1. The registration of SFTFS2015 is free. We provide free lunch on 6-1 for registered participants. Please have lunch at Rich Garden Hotel (just out of the gate of the campus) with your lunch ticket.
- 2. On-site Registration/Opening Ceremony/Closing Ceremony/Keynote Speeches/ Session Discussions: **Teaching Building, SUIBE Gubei Campus.**



Map of the Conference Site

Metro Connection:

The site is near the metro station "Loushanguan Rd." on Line 2. You can walk to the site in 10-15 minutes. Leave the station at Exit 3. Then head west along Tianshan Rd. and turn left at Gubei Rd. Walk 5-10 minuites and you will find SUIBE at the right side.

From Hongqiao Airport and Hongqiao Train Staion:

Take metro line 2 to Loushanguan Rd. Station. It takes 20 minutes to reach Loushanguan Rd. Station.

Taxi ride is 20 minutes and costs 40 yuan.

From Pudong Airport:

Take metro line 2 to Loushanguan Rd. Station. It takes an hour and 15 minutes to reach Loushanguan Rd. Station.

Taxi ride is 50 minutes and costs 180-200 yuan.

From Shanghai Railway Station:

Take metro line 1 and change to line 2 at People'"""'s Park. It takes half an hour to reach Loushanguan Rd. Station.

Taxi ride is 20-30 minutes and costs 35-40 yuan.

From Shanghai South Railway Station:

Take metro line 3 and change to line 2 at Zhongshan Park Station. It takes half an hour to reach Loushanguan Rd. Station.

Taxi ride is 20 minutes and costs 30-35 yuan.