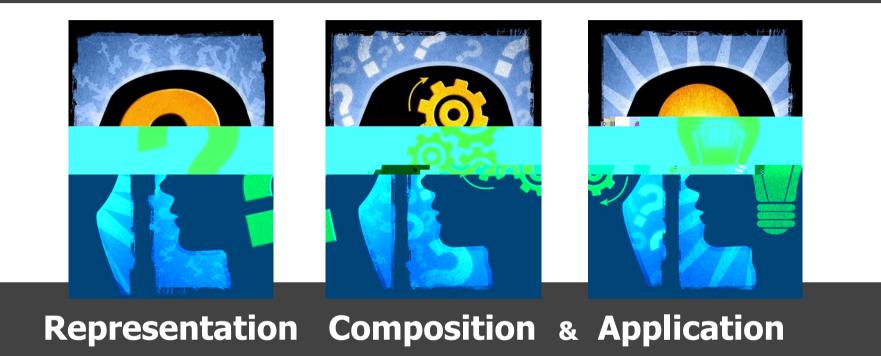
#### **Preferences in Databases**



Georgia Koutrika<sup>(1)</sup>, Evaggelia Pitoura<sup>(2)</sup>, Kostas Stefanidis<sup>(2)</sup> <sup>(1)</sup> Stanford University, <sup>(2)</sup> University of Ioannina

# Preferences guide human decisions e.g., "which ice-cream flavor to buy?"

"which investment funds to choose?"

Preferences have been studied in philosophy, psychology, economics, etc

e.g., in philosophy: reasoning on values, desires, duties

#### **TODAY's topic: Preferences in Databases**

Why considering preferences in databases?

What are the challenges?

What has been done so far?

#### What next?

# Why Preferences in Databases?

The Boolean database answer model: all or nothing!

- Empty-answer problem
- Too-many-answers problem

Databases on the Web: 7,500TB (19TB is the surface Web)!

- ·National Climatic Data Center (NOAA)
- · NASA EOSDIS
- ·Alexandria Digital Library
- ·JSTOR Project Limited
- $\cdot$  US Census
- $\cdot$  Amazon.com

• . . .

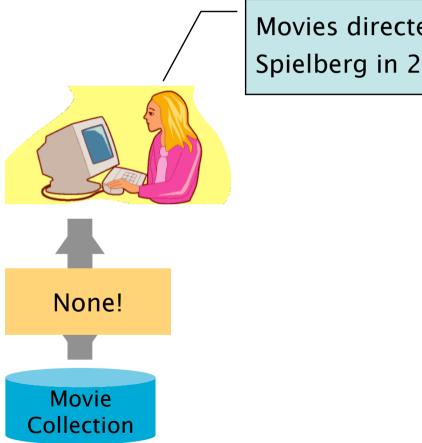
# Why Preferences in Databases?

The Boolean database answer model: all or nothing!

- Empty-answer problem
- Too-many-answers problem
- Databases on the Web: 7,500TB (19TB is the surface Web!)
  - Unknown schema
  - Unknown contents
- On the Web: Too much information
  - Information Overload
  - User diversity
- G. Koutrika, E. Pitoura and K. Stefanidis

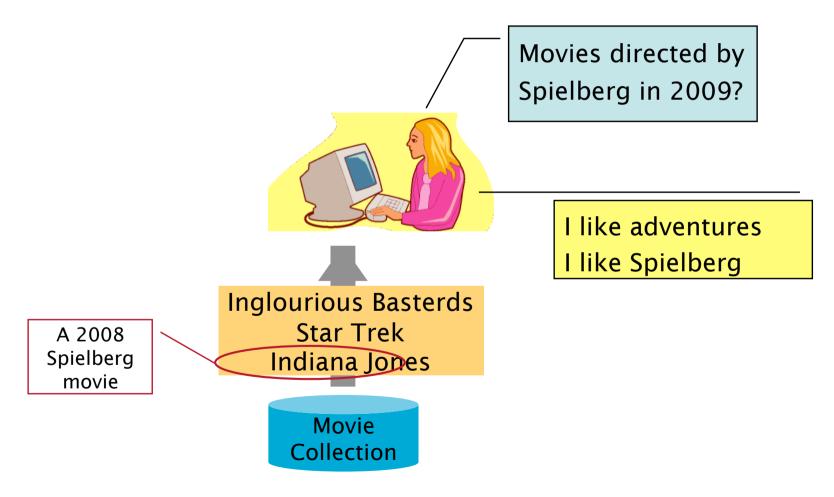
# Why Preferences in Databases?

Incorporating preferences can help return non-empty answers

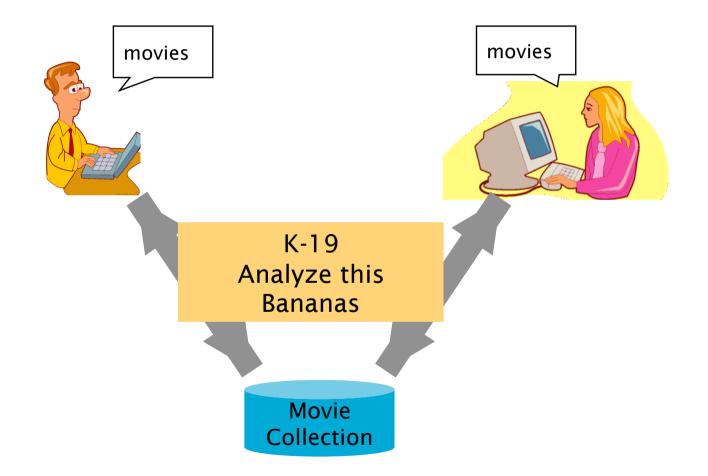


Movies directed by Spielberg in 2009?

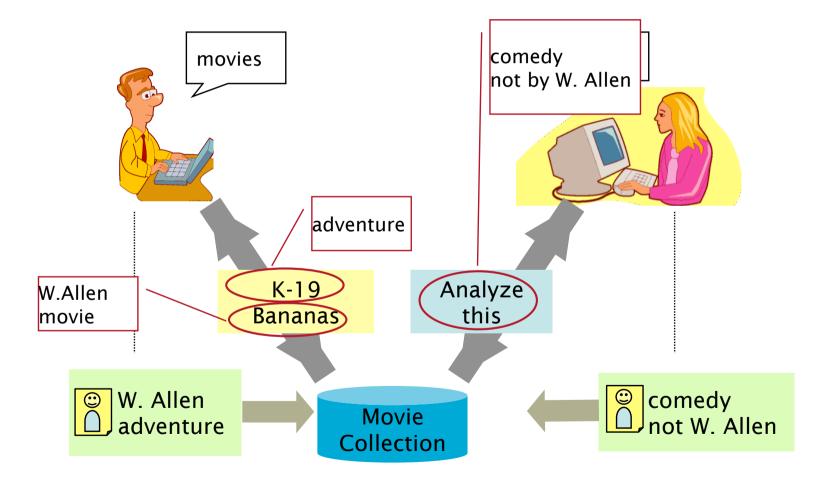
Incorporating preferences can help return non-empty answers



#### Incorporating preferences can help return focused answers



#### Incorporating preferences can help return focused answers



# **Tutorial Overview**



#### **Preference Representation**



**Preference Composition** 



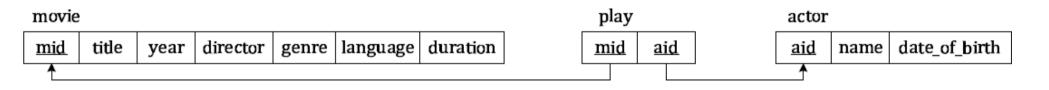
Preferential Query Processing



#### **Preference Learning**

# **Tutorial Overview**

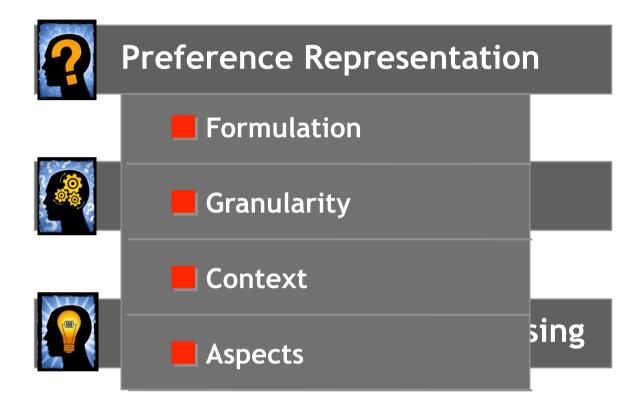
#### **Example**



#### movie

	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

# **Tutorial Overview**





- Qualitative approaches
- Quantitative approaches

#### **Binary preference relations**

Preferences between tuples in the answer to a query are specified directly using binary preference relations

[Chomicki 2003; Kiessling 2002]

Given a relation R: A preference relation B is a subset of  $R \times R$ 

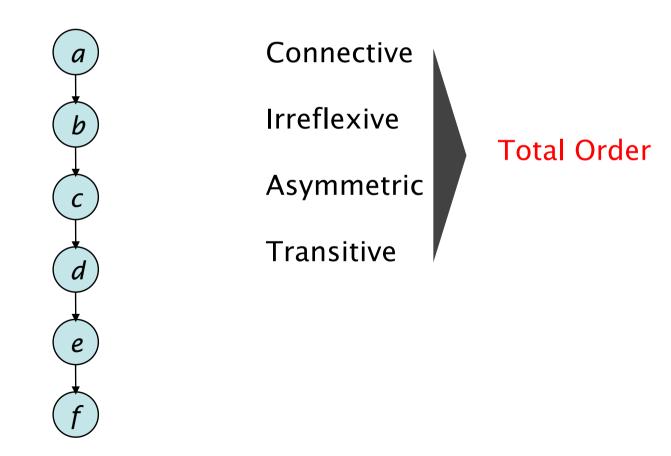
*a* B *b* between tuples *a* and *b* of  $R \Rightarrow a$  is preferred over *b* 

### Properties of binary relations

<b>Reflexive</b> :	$a B a, \forall a in R$
Irreflexive	$\neg(a B a), \forall a in R$
Symmetric	$a B b => b B a, \forall a, b in R$
Transitive	$(a \land b) \land (b \land c) \Longrightarrow (a \land c), \forall a, b, c in R$
Asymmetric	$(a \land b) = \neg (b \land a), \forall a, b in R$
Antisymmetric	$(a \land b) \land (b \land a) \Longrightarrow (a = b), \forall a, b in R$
Negative transitive	$\neg (a \land b) \land \neg (b \land c) = \neg (a \land c), \forall a, b, c in R$
Connective	$(a \land b) \lor (b \land a) \lor (a = b), \forall a, b in R$

### **Types of binary relations**

a b c d e f Tuples in R

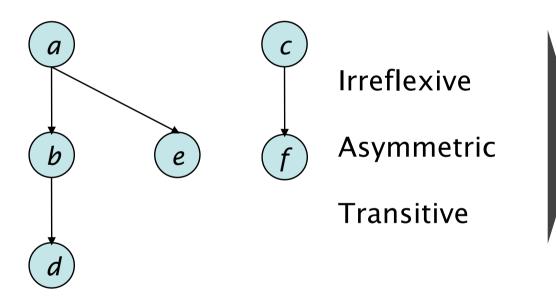


G. Koutrika, E. Pitoura and K. Stefanidis

### **Types of binary relations**

a

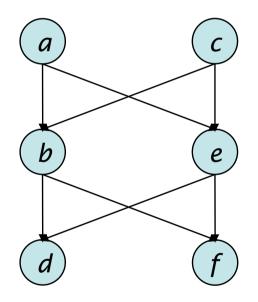
(b) (c) (d) (e) (f) Tuples in R



#### Strict Partial Order

### **Types of binary relations**

) *d e f* Tuples in *R* 



b

a

С

Negative transitive

Irreflexive

Asymmetric

Transitive

Weak Order

### Logical formulas

A logical formula *PF* expresses the constraints two tuples must satisfy so that one is preferred over the other

#### [Chomicki 2003; Georgiadis et al. 2008]

	movie								
	<u>mid</u>	title	year	director	genre	language	duration		
t <sub>1</sub>	m <sub>1</sub>	Casablanca	1942	Curtiz	drama	english	102		
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109		
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109		

 $t_i >_{\mathbf{PF}} t_j \Leftrightarrow t_i[genre] = t_i[genre] \land t_i[duration] < t_i[duration]$ 

Casablanca is preferred over Schindler's list

## Formulation: Qualitative Approaches

#### **Preference Constructors**

A formal language for formulating preference relations using constructors

[Kiessling 2002]

$$\begin{split} \text{HIGHEST}(A) & \{t_i >_{P_new} t_j \text{ iff } t_i > t_j\};\\ \text{AROUND}(A, z) & \{t_i >_{P_new} t_j \text{ iff } abs(t_i - z) < abs(t_j - z)\}; \end{split}$$

## Formulation: Qualitative Approaches

#### **Preference Constructors**

# A formal language for formulating preference relations using constructors

#### [Kiessling 2002]

	movie									
	<u>mid</u>	title	year	director	genre	language	duration			
t <sub>1</sub>	m <sub>1</sub>	Casablanca	1942	Curtiz	drama	english	102			
t2	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109			
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109			

#### POS(genre, {horror})

NEG(year, {1960})

EXP(title, {(Casablanca), (Psycho), (Schindler's list)})

# Formulation: Quantitative Approaches

#### **Preference Functions**

Preferences for tuples are expressed using functions that assign a score

[Agrawal et al. 2000]

 $t_i > p_i t_j$  for a preference function  $f_P \Leftrightarrow f_P(t_i) > f_P(t_j)$ 

(with exceptions [Guo et al. 2008])

# Formulation: Quantitative Approaches

#### **Preference Functions**

#### Example

#### movie

	<u>mid</u>	title	year	director	genre	language	duration			
t <sub>1</sub>	m <sub>1</sub>	Casablanca	1942	Curtiz	drama	english	102	<b>→0.102</b>		
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109	→0.109		
t3	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109	→0.109		

 $f_P(t_i) = 0.001 \times t_i[duration]$ 

#### **Degrees of Interest**

Preferences for tuples are expressed by specifying constraints for the tuples and assigning scores in these constraints

[Koutrika et al. 2004; Stefanidis et al. 2007]

Preference (Condition, Score):

Condition: 
$$A_1 \ \theta_1 \ v_1 \land A_2 \ \theta_2 \ v_2 \land \dots \land A_n \ \theta_n \ v_n$$

Score belongs to a predefined numerical domain

movie.genre = 'drama', 0.9

```
movie.year > 1990, 0.8
```

#### Incompleteness

Represents a gap in our knowledge

#### Indifference

$$\begin{aligned} \mathbf{t}_{i} \sim \mathbf{t}_{j} \Leftrightarrow \neg(\mathbf{t}_{i} >_{\mathsf{PR}} \mathbf{t}_{j}) \land \neg(\mathbf{t}_{j} >_{\mathsf{PR}} \mathbf{t}_{i}) & \mathsf{qualitative} \\ \Leftrightarrow f_{\mathsf{P}}(\mathbf{t}_{i}) = f_{\mathsf{P}}(\mathbf{t}_{j}) & \mathsf{quantitative} \end{aligned}$$

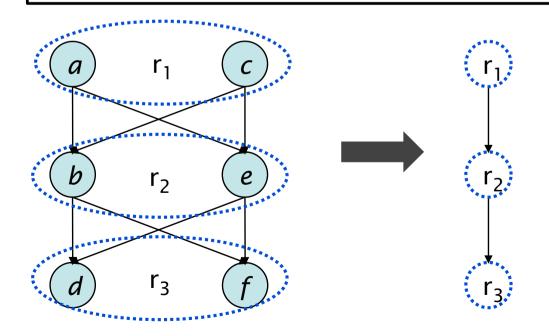
### Incomparability

Tuples that cannot be compared in some fundamental way

#### Equivalence classes

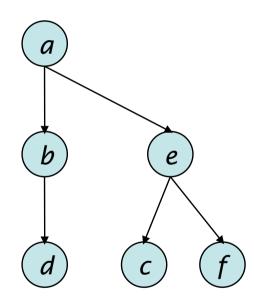
If a preference relation >  $_{PR}$  is weak order, then indifference is an equivalence class

A binary relation is an equivalence class if it is reflexive, symmetric and transitive



### Incomparability

#### **Example**



a dominates e and b

e and b are indifferent

b and c are indifferent

BUT: e dominates c

The indifference relation fails to capture incomparable versus equally important tuples

#### **Qualitative vs Quantitative**

In a quantitative way: I like comedies a lot! Qualitative cannot capture priority, importance, feeling

In a qualitative way: between two movies of the same kind, I prefer the shortest

Quantitative is more restricted

#### <u>Example</u>

movie	

	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

 $t_3$  is preferred over  $t_1$  and  $t_2$  is incomparable G. Koutrika, E. Pitoura and K. Stefanidis

# **Preference Representation**

Preference representation dimensions

- Formulation
- **Granularity**
- Context
- Aspects

#### **Tuple Preferences**

Preferences expressed directly for tuples and their values

movie.genre = 'drama', 0.9

```
movie.mid = cast.mid and
cast.aid = actor.aid and
actor.name = 'J. Roberts', 0.7
```

[Koutrika and Ioannidis 2010]

#### **Set Preferences**

Preferences expressed based on the properties of a group of tuples as a whole

[Zhang and Chomicki 2008]

I want to see three movies of the same director

#### **Attribute Preferences**

They can set priorities among tuple preferences expressed over the values in the corresponding attributes

 $P_{director} > P_{genre}$ 

[Georgiadis et al 2008]

They can set priorities among the attributes to be displayed in the results

{title, genre, language}, 1

[Miele at al 2009]

{year, director, duration}, 0.3

### **Relationship Preferences**

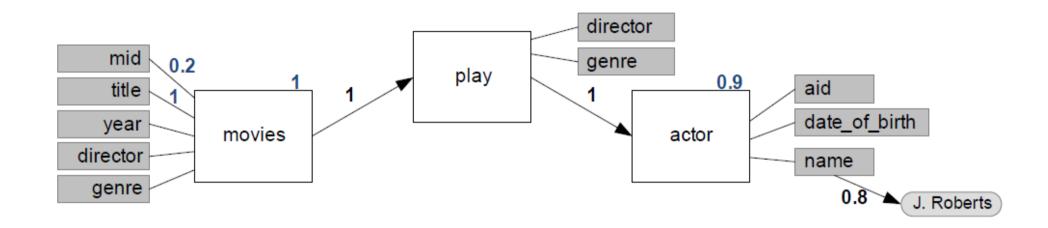
They are expressed on relationships between two types of entities or two particular entities

(movie.mid = play.mid, 1) [Koutrika, Ioannidis 2004]

A director has directed many movies

Julia Roberts has acted in Ocean's Eleven

#### **One more example**...



# **Preference Representation**

Preference representation dimensions

- Formulation
- Granularity
- <u>Context</u>
- Aspects



Context is any information that can be used to characterize the situation of an entity

An entity is a person, place, object that is considered relevant to the interaction between a user and an application, including the user and the application themselves

[Dey 2001]

User preferences can be part of the user context!

We study how context determined when user preferences hold

Context is any external to the database information that can be used to characterize the situation of a user or any internally stored information that can be used to characterize the data per se 37



### **Contextual Preferences**

(C, P), where C defines the context and P defines the preference

C 🔿 internal contextual preferences

e.g., for dramas, I prefer movies directed by Spielberg

external contextual preferences

e.g., when with friends, I prefer to watch horror movies

	movie	!					
	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

## Context

### Internal Contextual Preferences

Given a relation with attributes  $A_1, \dots A_d$ , an internal context is:  $A_{j \in L}(A_j = v_j), L \subseteq \{A_1, \dots A_d\}$ 

[Agrawal et al 2006]

#### **Example**

movie

	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

{director = 'Spielberg' > director = 'Curtiz' | genre = 'drama'}  $t_3$  is preferred over  $t_1$ 

## Context

### Internal Contextual Preferences

#### Example [Chomicki 2003]

movie

	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

$$\begin{array}{l} t_i \geq_{\textbf{PF}} t_j \Leftrightarrow & (t_i[genre] = t_j[genre] \wedge t_i[genre] = `drama' \wedge \\ & t_i[director] = `Spielberg' \wedge t_j[director] = `Curtiz' ) \ \lor \\ & (t_i[genre] = t_j[genre] \wedge t_i[genre] = `thriller' \wedge \\ & t_j[director] = `Spielberg' \wedge t_i[director] = `Curtiz' ) \end{array}$$

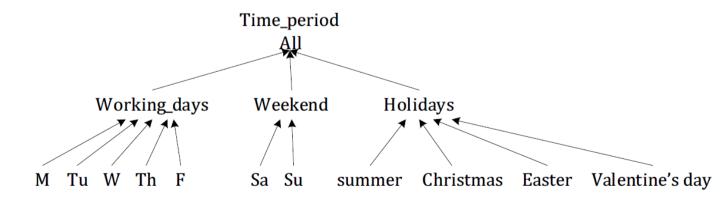
### **External Contextual Preferences**

Given a set of contextual parameters  $C_1, \dots C_n$ , an external context is: a n-tuple ( $c_1, \dots c_n$ ), where  $c_i \in C_i$ 

#### Example

[Stefanidis et al. 2007; Miele et al. 2009]

```
CP1: (Time_period = 'All', genre = 'adventure')
CP2: (Time_period = 'Holidays', language = 'Greek')
CP3: (Time_period = 'Holidays', director = 'Hitchcock')
```



## **Preference Representation**

Preference representation dimensions

- Formulation
- Granularity
- Context
- Aspects



#### Intensity

It shows the degree of desire expressed in a preference

Weak preferences

```
movie.genre = 'cartoons', 0.4
```

Strong preferences

movie.genre = 'comedy', 0.9

43



#### Necessity

It shows whether a preference should be met

Hard/mandatory preferences

When with friends, I do not want to see a drama movie

Soft/optional preferences

An optional preference for director W. Allen



#### Feeling

It shows how one feels about something

Positive preferences

```
movie.genre = 'drama', 0.9
```

Negative preferences

movie.genre = 'horror', -0.5

## Preference Representation: Summary

Preference representation approaches w.r.t. preference formulation, granularity and context

	Form	ulation		Gran	ularity	/		Conte	xt
	Qualitative	Quantitative	Tuple	Relation	Attribute	Relationship	Context-free	Internal	External
[Agrawal and Wimmers 2000]		~	✓				✓		
[Agrawal et al. 2006]	✓		✓					✓	
[Bunningen et al. 2006; 2007]		~	~						$\checkmark$
[Chomicki 2002; 2003]	✓		~				~	~	
[Georgiadis et al. 2008]	✓		~		~		✓		
[Holland and Kiessling 2004]	✓		✓						$\checkmark$
[Kiessling 2002]	✓	~	✓				✓		
[Koutrika and Ioannidis 2004; 2005]		~	~			~	~		
[Miele et al. 2009]		~	~		~				$\checkmark$
[Stefanidis et al. 2006; 2007]		~	✓				✓		$\checkmark$
[Zhang and Chomicki 2008]	✓		sets				~		

## Preference Representation: Summary

# Preference representation approaches w.r.t preference aspects (T=tuple, C=relation, A=attribute, R=relationship)

						A	spec	ts					
	Intensity Necessity		F	Feeling		Complexity		Attitude		Elasticity			
	Strong	Weak	Hard	Soft	Positive	Negative	Indifferent	Simple	Compound	Presence	Absence	Exact	Elastic
[Agrawal and Wimmers 2000]	Т	Т	-	Т	Т	-	Т	Т	Т	Т	Т	Т	Т
[Agrawal et al. 2006]	Т	Т	-	Т	Т	-	-	Т	Т	Т	-	Т	-
[Bunningen et al. 2006; 2007]	Т	Т	-	Т	Т	-	-	Т	Т	Т	Т	Т	-
[Chomicki 2002; 2003]	Т	Т	-	Т	Т	-	Т	Т	Т	Т	Т	Т	-
[Georgiadis et al. 2008]	ТА	ТА	А	Т	TA	-	TA	Т	Т	ТА	-	ТА	-
[Holland and Kiessling 2004]	Т	Т	-	Т	Т	Т	-	Т	Т	Т	Т	Т	Т
[Kiessling 2002]	Т	Т	-	Т	Т	Т	-	Т	Т	Т	Т	Т	Т
[Koutrika and Ioannidis 2004; 2005]	Т	Т	TR	TR	Т	Т	Т	TR	TR	Т	Т	Т	Т
[Miele et al. 2009]	ТА	ТА	А	ТА	TA	-	-	TA	TA	TA	Т	TA	-
[Stefanidis et al. 2006; 2007]	Т	Т	-	т	Т	-	-	Т	Т	Т	т	Т	
[Zhang and Chomicki 2008]	Т	Т	-	Т	Т	-	Т	Т	Т	Т	Т	Т	-

## **Tutorial Overview**



### **Preference Representation**



Qualitative Composition



Quantitative Composition

Heterogeneous Composition



Preference Learning

## **Qualitative Composition**

Composition mechanisms defined over preference relations

- Prioritized Composition
  - $\circ$  E.g., P<sub>x</sub> is considered <u>more important</u> than P<sub>y</sub>
- Pareto Composition
  - <u>Equally important</u> preference relations
- Pair-wise Comparisons Composition
- Set-oriented Composition
  - $\circ$  Intersection, Union, Difference

In following, we assume composition of two preferences  $P_x$  and  $P_y$ ; generalizing to n > 2 preferences is straightforward

#### Prioritized Composition

Let  $P_{\rm x},\,P_{\rm y}$  be two preference relations defined over the relational schema R

- The prioritized preference composition relation  $>_{P_X\&P_Y}$  is defined over R, such that,  $\forall t_i, t_j$  of R,  $t_i >_{P_X\&P_Y} t_j$ , iff:

 $(t_i \geq_{P_X} t_j) \lor (t_i \sim_{P_X} t_j \land t_i \geq_{P_Y} t_j)$ 

## **Qualitative Composition**

### Prioritized Composition

Example:

P1: dramas over horrors

P2: long movies over short ones

For  $t_i$ ,  $t_j$ ,  $t_i \ge_{P_1 \& P_2} t_j$ , iff:  $(t_i[genre] = 'drama' \land t_j[genre] = 'horror') \lor (t_i[genre] \neq 'drama' \land t_i[duration] > t_j[duration]) \lor (t_i[genre] \neq 'horror' \land t_i[duration] > t_i[duration])$ 

t3 is preferred over t1

t1 is preferred over t2

	movie						
	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	m <sub>1</sub>	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t3	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

Prioritized composition over different relational schemas

### Lexicographical Composition

For  $P_x$ ,  $P_y$  defined over R, R' with attribute domains dom(A), dom(A')

- The <u>lexicographical preference composition</u> relation ><sub>Px&Py</sub> defined over R×R', is a subset of dom(A)×dom(A'), such that,  $(t_i, t'_i) >_{Px&Py} (t_j, t'_j)$ , iff:  $(t_i >_{Px} t_j) \vee (t_i \sim_{Px} t_j \wedge t'_i >_{Py} t'_j)$ 

 $t_i$ ,  $t_j$  are tuples of R and t'<sub>i</sub>, t'<sub>j</sub> tuples of R'

[Chomicki 2003]:

- Total and weak orders are preserved by the prioritized and lexicographical composition
- Strict partial order is not
- G. Koutrika, E. Pitoura and K. Stefanidis

#### Pareto Composition

For  $P_x$ ,  $P_v$  defined over R

- The <u>pareto preference composition</u> relation  $>_{Px\otimes Py}$  is defined over R, such that,  $\forall t_i, t_j$  of R,  $t_i >_{Px\otimes Py} t_j$ , iff:

 $(t_i \geq_{P_X} t_j \land \neg(t_j \geq_{P_Y} t_i)) \lor (t_i \geq_{P_Y} t_j \land \neg(t_j \geq_{P_X} t_i))$ 

Intuitively, under pareto composition, a tuple dominates another if it is at least as good (i.e., not worse) under one preference and strictly better under the other

### Pareto Composition

Example:

P1: dramas over horrors

P2: long movies over short ones

mosrio

```
For t_i, t_j, t_i >_{P1 \otimes P2} t_j, iff: (t_i[genre] = 'drama' \land t_j[genre] = 'horror' \land t_i[duration] \ge t_i[duration]) \lor
```

```
 \begin{array}{l} (t_i[duration] > t_j[duration] \land t_j[genre] \neq `drama') \lor \\ (t_i[duration] > t_j[duration] \land t_j[genre] = `drama' \\ \land t_i[genre] \neq `horror') \end{array}
```

t3 is preferred over t1

t1, t2 are incomparable

	movie						
	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t3	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

Pareto composition over different relational schemas

### Multidimensional Pareto Composition

For P<sub>x</sub>, P<sub>y</sub> defined over R, R' with attribute domains dom(A), dom(A') - The <u>multidimensional pareto preference</u> relation ><sub>Px®Py</sub> defined over R×R' is a subset of dom(A)×dom(A'), such that,  $(t_i, t'_i) >_{Px®Py} (t_i, t'_i)$ , iff:  $(t_i >_{Px} t_i \land \neg (t'_i >_{Py} t'_i)) \lor$ 

$$(t'_i \geq_{Py} t'_j \land \neg (t_j \geq_{Px} t_i))$$

 $t_i$ ,  $t_j$  are tuples of R and t'<sub>i</sub>, t'<sub>j</sub> tuples of R'

Motivation: Voting theory [Condorcet 1785]

### Pair-wise Comparisons Composition

Given a set of preference relations:

 $t_i$  is preferred over  $t_j$ , iff,  $t_i$  is preferred over  $t_j$  for the majority of the preference relations

Other methods of voting theory:

- Given a set of rankings, tuples are ordered based on the number of times each one appears first
- [Borda 1781]: determine the position of a tuple by the sum of its positions in the initial rankings

#### Set-oriented Composition

- For  $P_x$ ,  $P_v$  defined over the relational schema R
- The <u>intersection preference relation</u>  $>_{Px \land Py}$  is defined over R, such that,  $\forall t_i, t_j$  of R,  $t_i >_{Px \land Py} t_j$ , iff:  $t_i >_{Px} t_i \land t_i >_{Py} t_i$ 
  - The <u>union preference relation</u>  $>_{Px+Py}$  is defined over R, such that,  $\forall t_i, t_j \text{ of } R, t_i >_{Px+Py} t_j, \text{ iff:}$  $t_i >_{Px} t_i \lor t_i >_{Py} t_i$
- The <u>difference preference relation</u> ><sub>Px-Py</sub> is defined over R, such that,  $\forall t_i, t_j$  of R,  $t_i >_{Px-Py} t_j$ , iff:  $t_i >_{Px} t_j \land \neg(t_i >_{Py} t_j)$

#### Intersection example:

- P1: dramas over horrors
- P2: long movies over short ones

P1  $\land$  P2:  $t_i >_{P1 \land P2} t_j$ , iff: ( $t_i[genre] = 'drama' \land t_i[genre] = 'horror') \land (t_i[duration] > t_i[duration])$ 

[Chomicki 2003]:

- Strict partial order is preserved by intersection but not by difference or union
- None of the set-oriented composition operators preserve the weak and the total order

Preference composition mechanism categories:

- Qualitative composition
- Quantitative composition
  - Combine preferences expressed as scores over a set of tuples and assign final scores to these tuples
- Heterogeneous composition

#### Definition

#### Given:

- Two preferences  $P_x$ ,  $P_y$  over R defined through preference functions  $f_{Px}$ ,  $f_{Py}$
- A combining function  $F : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$

 $\forall t_i, t_j \text{ in } R, t_i > \operatorname{rank}_F(P_x, P_y) t_j, \text{ iff: } F(f_{Px}(t_i), f_{Py}(t_i)) > F(f_{Px}(t_j), f_{Py}(t_j))$ 

To assign importance to preferences, weights can be used

Example: P1:  $f_{P1}(t_i) = 0.001 \times t_i$ [duration] P2:  $f_{P2}(t_i) = 0.0001 \times t_i$ [year] rank<sub>F</sub>(P1, P2):  $F(f_{P1}(t_i), f_{P2}(t_i)) = 0.1 \times f_{P1}(t_i) + 0.9 \times f_{P2}(t_i)$ 

Under this preference:

score(t1) = 0.185score(t2) = 0.187score(t3) = 0.199

	movie						
	<u>mid</u>	title	year	director	genre	language	duration
t <sub>1</sub>	m <sub>1</sub>	Casablanca	1942	Curtiz	drama	english	102
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109
t <sub>3</sub>	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109

<u>Also</u>: Numerical composition over different relational schemas

Other types of combining functions:

The <u>min</u> and <u>max</u> functions

Three classes of combining functions:

- Inflationary: the preference in a tuple increases with the number of preferences that satisfy it
- **Dominant**: the most important preference dominates

<u>Reserved</u>: the preference in a tuple is between the highest and the lowest degrees of interest among the preferences satisfied [Koutrika and loannidis 2005b]

### Preference Overriding

Let  $P_x$ ,  $P_y$  be two preferences defined over the relational schema R

If  $P_x$  refers to a subset of tuples that  $P_y$  refers to, the more specific one, i.e.,  $P_x$ , <u>overrides</u> the more generic one

[Koutrika and Ioannidis 2010]

Example:

- P1: movie: (movie.genre = 'comedy', 0.9)
- P2: movie: (movie.genre = 'comedy' and

movie.director = 'Stiller', -0.9)

P2 overrides P1 whenever they both apply

## Qualitative vs. Quantitative Composition

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#### Note:

Every composition mechanism defined over preference relations can be applied to preferences defined using functions or degrees of interest

#### This way:

Prioritized, lexicographical, pareto, intersection, union and difference composition <u>are also applicable to numerical</u> <u>preferences</u>

So far, we have distinguished composition methods based on the tuple ranking criterion between:

- Qualitative
- Quantitative

Distinguish composition methods based on the user attitude:

- Overriding attitude: Preference  $P_x$  overriding  $P_y$  means that  $P_y$  is applicable only if  $P_x$  does not apply
- Dominant attitude: The most or least important preference determines the tuple ranking
- Combinatory attitude: Both P<sub>x</sub> and P<sub>y</sub> contribute to the tuple ranking

#### Preference composition w.r.t. tuple ranking and user attitude

		Attitude						
		Overriding	Dominant	Combinatory				
Tuple	Qualitative	prioritized, lexicographical		pareto, multidimensional pareto, pair-wise comparisons, intersection, difference, union				
Ranking	Quantitative	syntactic overriding	max, min	average, weighted average,				

So far, we have focused on:

- Mechanisms for composing preferences for tuples

Is this the only direction?

Next, we focus on:

- <u>Combining preferences of different granularity</u>

Mechanisms for composing preferences of different granularity

- Combine preferences expressed at tuple and relationship level
- Combine preferences expressed at tuple and attribute level

Combine preferences expressed at <u>tuple</u> and <u>relationship</u> level

<u>To do this</u>:

Compose implicit preferences by other composeable ones

- $P_x$  and  $P_y$  are composeable, iff:
- i.  $P_x$  is a join preference of the form  $R_x$ :  $(q_x, d_x)$  connecting  $R_x$  to a relation  $R_y$  and
- ii.  $P_y$  is a join or selection preference on  $R_y$ , i.e.,  $R_y$ :  $(q_y, d_y)$ [Koutrika and Ioannidis 2005b]

 $q_x$  and  $q_y$  are conditions,  $d_x$  and  $d_y$  are scores,  $P_x$  and  $P_y$  can be viewed as queries that select tuples from relations  $R_x$ ,  $R_y$  that satisfy  $q_x$ ,  $q_y$ 

Combine preferences expressed at tuple and relationship level

Example: Selection preference: actor: (actor.name = 'Roberts', 0.8) Join preferences: movie: (movie.mid = play.mid, 1) play: (play.aid = actor.aid, 1)

```
Implicit preference for movies with Julia Roberts:
movie: (movie.mid = play.mid and
play.aid = actor.aid and
```

```
actor.name = 'Roberts', 0.8)
```



Combine preferences expressed at <u>tuple</u> and <u>attribute</u> level

# Employ attribute preferences to express priorities among tuple preferences

[Georgiadis et al. 2008]

#### Example:

<u>Tuple preferences</u>: Hitchcock is preferred to Curtiz or Spielberg (P<sub>D</sub>)

movie

horror movies are preferred to dramas  $(P_G)$ 

<u>Attribute preference</u>: the director of a movie is as important as its genre ( $P_{DG}$ )

 $P_D$  and  $P_G$  are combined by taking the <u>pareto preference composition</u>  $P_D \otimes P_G$ 

-  $P_{DG}$  expresses that  $P_{D}$  and  $P_{G}$  are equally important

t2 is preferred to t1 and t3 t1, t3 are incomparable

	movic								
	<u>mid</u>	title	year	director	genre	language	duration		
t <sub>1</sub>	<b>m</b> 1	Casablanca	1942	Curtiz	drama	english	102		
t <sub>2</sub>	m <sub>2</sub>	Psycho	1960	Hitchcock	horror	english	109		
t3	m <sub>3</sub>	Schindler's List	1993	Spielberg	drama	english	109		

#### Preference composition w.r.t. granularity

	Tuple	Relation	Attribute	Relationship
Tuple	[Agrawal and Wimmers 2000; Agrawal et al. 2006; Bunningen et al. 2006; 2007; Chomicki 2002; 2003; Georgiadis et al. 2008; Holland and Kiessling 2004; Kiessling 2002; Koutrika and Ioannidis 2004; 2005b; Miele et al. 2009; Stefanidis et al. 2006; 2007; Zhang and Chomicki 2008]	-	[Georgiadis et al. 2008]	[Koutrika and Ioannidis 2004; 2005b]
Relation				
Attribute			[Georgiadis et al. 2008; Miele et al. 2009]	
Relationship				[Koutrika and Ioannidis 2004; 2005b]

Given a set of preferences:

#### How we can employ them to compute query results?

<u>Goal</u>: Exploit preferences to provide users with customized answers by <u>changing the order</u> and <u>possibly the size of results</u>

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# **Tutorial Overview**



## **Preference Representation**



## **Preference Composition**



Preferential Query Processing

Expand Database Queries with Preferences



Pre-compute Rankings of Tuples

Top-k Processing

Three fundamental steps:

- Preference relatedness: determine which preferences are related and applicable to a query
- Preference filtering: identify which of the related preferences should be integrated into the query
- Preference integration: integrate the selected preferences into the original query to enable preferential query answering

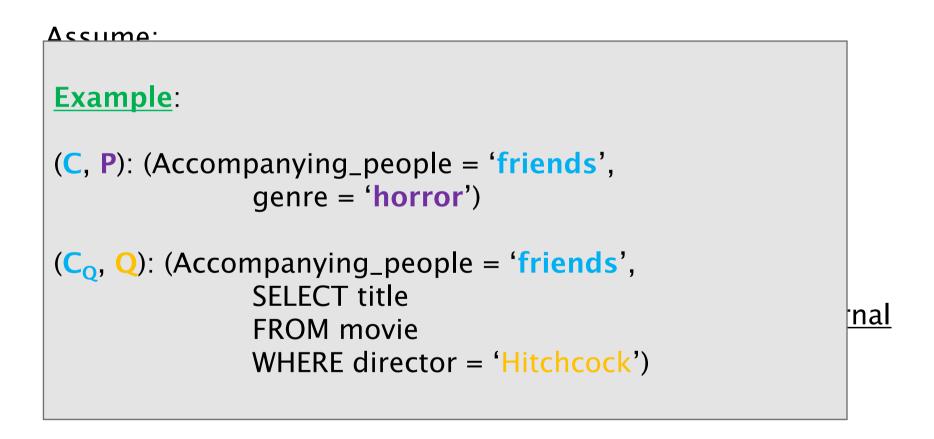
### Preference Relatedness

From a set of preferences known for a user at query time:

- All preferences may be considered related to the query
- Only a subset of preferences may be considered related to the query

### Which of the available preferences we will use?

### Preference Relatedness



### Preference Relatedness

A preference (C, P) is related to a query ( $C_Q$ ,Q) if:

- The external part of C matches  $C_{\rm Q}$  and the internal part of C matches Q
- The preference part P is applicable to Q's results

In what follows, we elaborate each part of the definition separately:

- <u>Context matching</u>
- Preference applicability

### Context Matching

Use a metric for measuring the <u>distance</u>, <u>similarity</u> or <u>difference</u> of two contexts:

- Vector-based approaches
  - Represent query and preference contexts as vectors and measure their similarity

[Agrawal et al. 2006]



Hierarchical-based approaches

### Context Matching : Hierarchical Approach

For context parameters that take values from hierarchical domains:

- Compare contexts expressed at different levels of abstraction

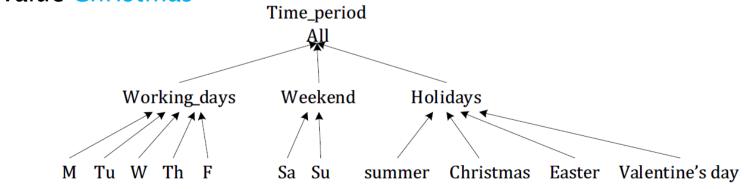
Given a preference (C, P) and a query with context  $C_Q$ :

- C is related to  $C_0$ , if C is equal or more general than  $C_0$ 

[Stefanidis et al. 2007a]

#### Example:

For the context parameter Time\_period, the value Holidays is more general than the value Christmas



### Context Matching : Hierarchical Approach

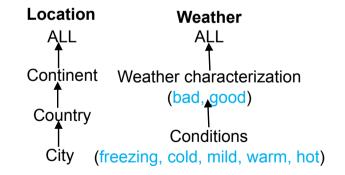
### Hierarchical distance

Distance between C and  $C_Q$ : Sum of distances of the levels of all context parameters

- Distance between two levels: <u>Minimum path</u> between them in the hierarchy

Example:

The contexts (Athens, warm) and (Greece, good) have distance 1+1=2



A similar metric is used by [Miele et al. 2009]

Take into account the <u>depth of context values in the hierarchy</u>

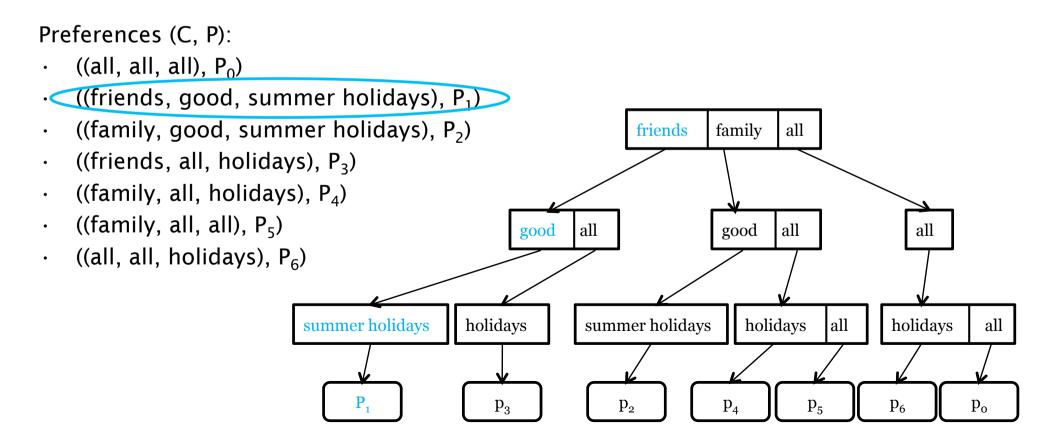
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### Context Matching : Hierarchical Approach

Locate the related preferences using the profile tree

- Exploit the repetition of context values in contexts

[Stefanidis et al. 2007a]



### Context Matching: Relaxation Types

A context parameter may be relaxed:

- Upwards by replacing its value by a more general one
- Downwards by replacing its value by a set of more specific ones
- Sideways by replacing its value by sibling values in the hierarchy

But how well C matches C'?

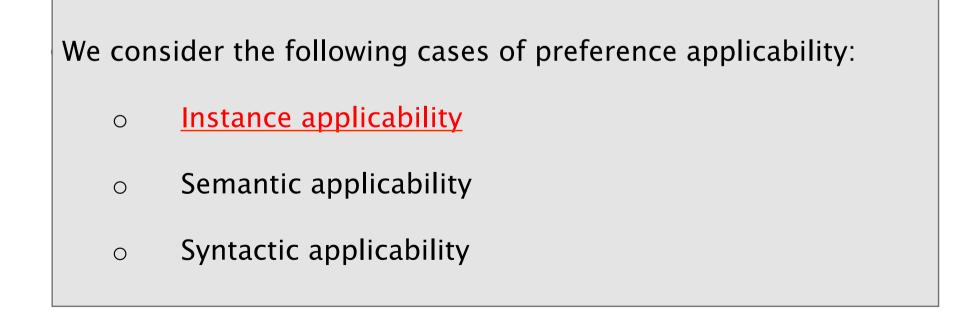
 Employ metrics that exploit the <u>number of relaxed parameters</u> and the <u>depth</u> of relaxations

[Stefanidis et al. 2007b]

## Preference Applicability

With context matching, we identify:

- Preferences that are <u>valid</u> in a query context
- Preferences that are out of context



## Instance Applicability

P is instantly applicable to Q if:

Q, combined conjunctively with P, is executed over the current database instance and its result set is not empty

#### Example:

For a Q about <u>recent movies</u> and a P for movies directed by <u>Spielberg</u>:

- P is instantly applicable to Q only if the database contains recent entries of Steven Spielberg

## Semantic Applicability

For <u>semantic applicability</u>, additional knowledge, outside the database, is needed

Example:

For a Q about comedies:

- A preference for movies directed by Allen is applicable
- A preference for Tarkovsky is not applicable

## Semantic Applicability

For <u>semantic applicability</u>, additional knowledge, outside the database, is needed

#### Note:

When P is instantly applicable to Q, then P is also semantically applicable to Q

- The reverse does not apply

Example: For a Q about recent movies and a P for movies directed by Tarantino

- P is semantically applicable to Q
- Assuming that our database is not updated, P is not instantly applicable to Q
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## Syntactic Applicability

A preference P is <u>syntactically applicable</u> to a query Q w.r.t. their structure

- That is, according to the relations, attributes and values P and Q contain
- A P for the tuples of a relation R is applicable to Q, if:
  - R is referenced in Q
  - P is expressed over an attribute in Q

[Koutrika and Ioannidis 2004]

### Note:

The set of semantically applicable preferences for a query is a superset of the syntactically applicable ones

Assume the query:

```
Q: (Time_period = 'Christmas', SELECT title FROM movie
```

```
WHERE genre = 'horror' AND language = 'English')
```

genre = 'adventure')

language = 'Greek')

and the preferences:

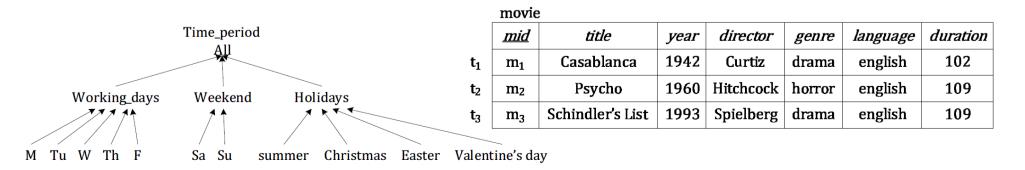
CP1: (Time\_period = 'All',

CP2: (Time\_period = 'Holidays',

CP3: (Time\_period = 'Holidays', director = 'Hitchcock')

### Preference Selection:

- CP2 and CP3 are more closely related to Q
- CP2 is not applicable to Q
- CP3 is syntactically, instantly and semantically applicable



Three fundamental steps:

- Preference relatedness: determine which preferences are related and applicable to a query
- Preference filtering: identify which of the related preferences should be integrated into the query
- Preference integration: integrate the selected preferences into the original query to enable preferential query answering

All preferences related to a query may be used for ranking and selecting the tuples returned by the query

Alternatively: <u>Rank preferences</u> based on their:

- Relatedness score, capturing the degree to which a preference is related to a query
- Preference score, showing their intensity

Subsequently, select the top preferences for ranking the query results

## **Expand Queries:** Preference Filtering

### Filtering based on Relatedness Score

Rank preferences based on their relatedness score

 Use a function to capture how well a preference context matches a query context

Use the <u>cosine similarity</u> to match contexts

[Agrawal et al. 2006]

#### For hierarchical contexts:

Employ distance metrics that combine:

- The <u>number of parameters</u> in which the contexts differ
- The <u>level</u> at which such differences occur in the context hierarchies [Stefanidis et al. 2007a; Miele et al. 2009]

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Filtering based on Preference Score

Quantitative preferences are <u>ordered</u> in decreasing preference score and the <u>top K ones are selected</u> for expanding the query

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# **Expand Queries:** Preference Filtering

## Filtering based on Preference Score

Extract the top K related preferences from a set U

- These preferences are stored explicitly in U or are derived implicitly through preference composition

[Koutrika and Ioannidis 2004]

#### Example:

```
<u>Selection preference</u>: actor: (actor.name = 'Roberts', 0.8)
<u>Join preferences</u>: movie: (movie.mid = play.mid, 1)
play: (play.aid = actor.aid, 1)
```

Implicit preference for movies with Julia Roberts:

```
movie: (movie.mid = play.mid and play.aid = actor.aid and actor.name = 'Roberts', 0.8)
```



**Input**: Q, preferences U, interest criterion CI **Output**: a set  $P_{K}$  of the top K related preferences derived from U

Start from the related to the query preferences Q<sub>P</sub>

Iteratively consider additional preferences that are <u>composeable</u> with those already known

- At each round, pick from  $Q_P$  the candidate preference P with the highest degree of interest
  - · A selection preference is added in  $P_{K}$ , if it satisfies CI
  - A join preference is combined with the stored, composeable preferences to infer implicit preferences that can be applied to the query and satisfy CI
    - These implicit preferences are inserted into Q<sub>P</sub>
- The algorithm stops when no other preferences satisfying CI can be derived and returns  $P_{\rm K}$

<u>CI examples</u>: preferences with degrees of interest greater than a threshold, at most x preferences could be output etc.

Three fundamental steps:

- Preference relatedness: determine which preferences are related and applicable to a query
- Preference filtering: identify which of the related preferences should be integrated into the query
- Preference integration: integrate the selected preferences into the original query to enable preferential query answering

## Expand Queries: Preference Integration

Preferences expressed as query conditions can be naturally integrated into a query

- <u>Query rewriting</u> approaches leverage the power of SQL to return results that satisfy the user preferences

Use the top K preferences for query personalization

- Query results satisfy at least L of the K preferences
  - $\circ$  K: Desired degree of personalization
  - o L: Minimum number of criteria that an answer should meet

[Koutrika and Ioannidis 2004]

Two different query re-writing mechanisms:

- i. <u>Single query</u>: A conjunction of query conditions with the disjunction of all possible conjunctions of the L out of K preferences
- ii. <u>K queries</u>: Augment the initial query with one of the K preferences
  - $_{\odot}$  Each tuple that appears at least L times is output

# Query Re-Writing Mechanism Example

Example:

Assume the query

```
Q: SELECT title FROM movie WHERE director = 'Spielberg' and the preferences
```

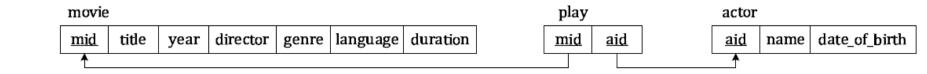
```
P1: (genre = 'drama')
```

```
P2: (language = 'English')
```

```
(L =1)
```

```
<u>Mechanism ii</u>
```

```
SELECT distinct title FROM (
(SELECT distinct title FROM movie
WHERE director = 'Spielberg' AND genre = 'drama')
UNION ALL
(SELECT distinct title FROM movie
WHERE director = 'Spielberg' AND language = 'English')
)
```



## Expand Queries: Preference Integration

## A Lattice-based Approach

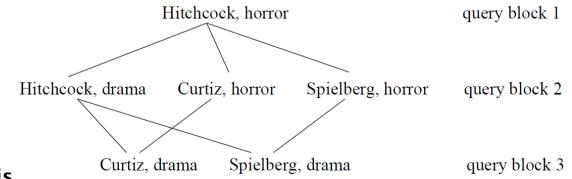
Blocks, or groups, of equivalent queries

 Each block consists of a set of queries that generate <u>equally</u> <u>preferable</u> results

[Georgiadis et al. 2008]

### Example preferences:

- Hitchcock is preferred over Curtiz or Spielberg
- Horror movies are preferred over dramas
- The director of a movie is as important as its genre



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## Expand Database Queries: Summary

Three fundamental steps:

Preference relatedness: determine which preferences are related and applicable to a query

All preferences

Context matching

• Preference applicability

Preference filtering: identify which of the related preferences should be integrated into the query

**o** Preference relatedness

Preference score

Preference integration: integrate the selected preferences into the original query to enable preferential query answering A taxonomy of approaches that expand database queries with preferences

	Preference Relatedness			Preference Filtering		Preference Integration	
	All Preferences	Context Matching	Preference Applicability	Preference Score	Preference Relatedness	Top-K Queries	Order All Queries
[Agrawal et al. 2006]		internal			$\checkmark$		
[Bunningen et al. 2006]		external	✓		$\checkmark$		$\checkmark$
[Georgiadis et al. 2008]	~						$\checkmark$
[Koutrika and Ioannidis 2004; 2005]			~	~		~	
[Miele et al. 2009]		external			✓	~	
[Stefanidis et al. 2007]		external			$\checkmark$	$\checkmark$	

### Preference integration

- Employ preference operators

# **Employ Preference Operators**

Preferences can be embedded into query languages through preference-related operators

- Select from input the set of the most preferred tuples

Two fundamentals approaches to handle preference operators: Operator implementation

- $_{\odot}$  Operators are implemented inside the database engine
  - Employ special evaluation algorithmic techniques

**Operator translation** 

 Operators are translated into other, existing relational algebra operators

# **Employ Preference Operators**

In following, we focus on:

Defining preference operators

Implementing preference operators

Translating preference operators

The winnow operator: Pick from an instance r the set of the most preferred tuples w.r.t. a preference relation P

[Chomicki 2003]

### Definition

Given an instance r of a relational schema R and a P over R: The winnow operator  $w_P(r)$  is  $w_P(r) = \{t_i \text{ in } r \mid \nexists t_j \text{ in } r, \text{ such that } t_j >_P t_i\}$ 

Winnow can be used to select tuples from more than one relation

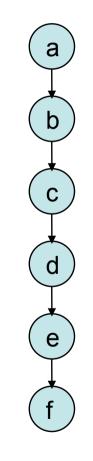
- Apply winnow to the result of queries defined over more than one relation

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## **Employ Preference Operators:** Definition

### The Winnow Operator: Properties

If  $>_P$  is a <u>total</u> order,  $w_P(r)$  includes just one tuple

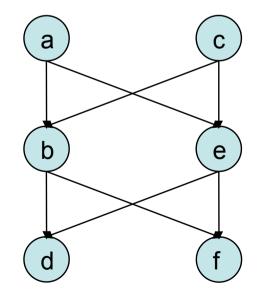


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## Employ Preference Operators: Definition

### The Winnow Operator: Properties

- If  $>_{P}$  is a <u>total</u> order,  $w_{P}(r)$  includes just one tuple
- If  $>_P$  is a <u>weak</u> order, tuples in win<sub>P</sub>(r) are tuples of the top equivalence class of r defined by >



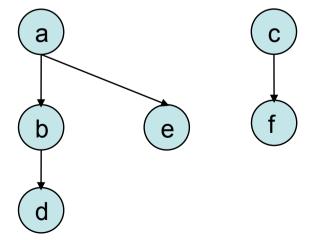
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# Employ Preference Operators: Definition

### The Winnow Operator: Properties

- If  $>_{P}$  is a <u>total</u> order,  $w_{P}(r)$  includes just one tuple
- If  $>_{P}$  is a <u>weak</u> order, tuples in win<sub>P</sub>(r) are tuples of the top equivalence class of r defined by >
- If  $>_{P}$  is a <u>strict partial</u> order,  $w_{P}(r)$  is non-empty (for every finite, non-empty instance r of R)



## Employ Preference Operators: Definition

### The Winnow Operator: Properties

- If  $>_P$  is a <u>total</u> order,  $w_P(r)$  includes just one tuple
- If  $>_P$  is a <u>weak</u> order, tuples in win<sub>P</sub>(r) are tuples of the top equivalence class of r defined by ~
- If  $>_{P}$  is a <u>strict partial</u> order,  $w_{P}(r)$  is non-empty (for every finite, non-empty instance r of R)
- For any two tuples  $t_i$  and  $t_j$  of r of  $w_P(r)$ , it holds that  $t_i > t_j$ o  $t_i$  and  $t_j$  are indifferent

[Chomicki 2003]

## Employ Preference Operators: Definition

The skyline operator: Pick the tuples of r that are not dominated by any other tuple in r

- A tuple dominates another tuple if:
  - $\circ\,$  It is as good or better w.r.t. a set of preferences
  - $\circ\,$  It is better in at least one preference

#### Is there any relation with pareto composition?

[Borzsonyi et al. 2001]: Skylines in multidimensional Euclidean spaces

- The dominance relationship is > or <
- Attributes are partitioned into DIFF, MAX and MIN
- Only tuples with identical values on all DIFF attributes are comparable
  - $_{\odot}$  Among those, MAX attribute values are maximized and MIN values are minimized
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## Employ Preference Operators: Definition

### Other Definitions of Skylines

<u>k-dominant skyline</u>:  $t_i$  k-dominates  $t_j$  if there are k dimensions, or preferences, in which  $t_i$  is better than or equal to  $t_j$ , and  $t_i$  is better in at least one of these k dimensions

[Chan et al. 2006]

<u>k-representative skyline</u>: select k tuples, such that, the number of tuples that are dominated by at least one of these k tuples is maximized [Lin et al. 2007]

<u> $\epsilon$ -skyline</u>: compute the set of tuples that are not  $\epsilon$ -dominated by any other tuple

Given a set of preferences, t<sub>i</sub> ε-dominates t<sub>j</sub> if it is as good, better or slightly worse (up to ε) w.r.t. all preferences and better in at least one preference
 [Xia et al. 2008]

Winnow and skyline operators select the most preferred tuples

For ranking all input tuples: <u>Apply multiple times the operators</u>

### The Iterated Winnow Operator

Given an instance r of a relational schema R and a P over R, the iterated winnow operator,  $win_{P}^{i}(r)$ , of level i, i > 0, is:

$$- win_{P}^{1}(r) = w_{P}(r)$$

- 
$$win^{i+1}P(r) = w_P(r - \bigcup_{k=1}^{i} win^kP(r))$$

[Chomicki 2003]

The iterated winnow operator, called <u>Best operator</u>, is independently defined by [Torlone and Ciaccia 2003]

## **Employ Preference Operators**

In following, we focus on:

- Defining preference operators
- Implementing preference operators
- Translating preference operators

### Within The Query Engine

The naïve approach: <u>Nested-Loop</u> method

- Compare each tuple with every other tuple
  - $\circ$  Nested-Loop requires scanning the whole input for each tuple

### Within The Query Engine

A more efficient implementation: <u>Block-Nested-Loop</u> method

[Borzsonyi et al. 2001]

Input: instance r

#### Variables: window W and table T that are empty

<u>At each iteration</u>:

- All tuples in r are read
- When a tuple t is read, t is compared with all tuples in W
  - 1. If t is dominated by a tuple in W, then t is discarded
  - 2. If t dominates one or more of the tuples in W, these tuples are discarded and  $\underline{t\ is\ inserted\ into\ W}$
  - 3. If t is indifferent with all tuples in W
    - If there is room in W, t is inserted into W
    - Otherwise, <u>t is stored in T</u>

At the end of each iteration:

- All tuples added to W when T was empty are output
- The next iteration uses T as input

### Within The Query Engine

Winnow for Weak Orders [Chomicki 2007]

- <u>Advantage</u>: All tuples in the winnow belong to a single equivalence class

An input tuple t:

- is dominated by all tuples in W, in which case <u>t is discarded</u>
- dominates all tuples in W, in which case the whole W is replaced by t
- is indifferent to all tuples in W, in which case t is added to W

# In all cases: A single comparison of t with just one tuple in W suffices

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### Within The Query Engine

Sort-Filter-Skyline algorithm

[Chomicki et al. 2003]

- Add a preprocessing step to BNL that sorts all tuples in r
  - $\cdot~$  If  $t_i \geq_P t_j,$  then  $t_i$  precedes  $t_j$  in the produced order

### Basic Idea

- Produce an order by topologically sorting the preference graph of r
- Process the tuples following this order
  - $\circ$  When a tuple is inserted into W, it belongs to the winnow, thus it can be output immediately

For SFS to work,  $>_{P}$  must be at least a strict partial order

Iterated winnow operator implementation

- Apply one of the previous algorithms (e.g., the NL or SFS) multiple times
  - $\circ$  First, apply on r to produce win<sup>1</sup><sub>P</sub>(r)
  - Then, apply on  $(r \bigcup_{k=1}^{i} win_{P}^{k}(r))$  to produce  $win^{i+1}P(r)$

Evaluating Best Operator algorithm

[Torlone and Ciaccia 2003]

**BNL** variation

Compute win<sup>i+1</sup><sub>P</sub>(r) from those tuples that were found to be directly dominated by a tuple in win<sup>i</sup><sub>P</sub>(r)

## **Employ Preference Operators**

In following, we focus on:

- Defining preference operators
- Implementing preference operators
- Translating preference operators

<u>Is the only solution to implement preference operators?</u>

– <u>Translate operators</u> into existing relational algebra operators

[Kießling 2002] defines preference queries with two new relational operators:

- 1. <u>Preference selection operator</u>: corresponds to the winnow operator  $w_P(r)$
- 2. <u>Grouped preference selection operator</u>: apply preference selection within groups

Given an attribute set B:

- $_{\odot}$  Tuples are partitioned into groups with same values in B
- $\circ\,$  The grouped preference selection operator selects the dominating tuples in each group

Preference queries expressed using operators can be translated into standard SQL queries

<u>Preference SQL</u>: Extent SQL with the preference constructors of [Kießling 2002]

[Kießling and Kostler 2002]

#### Example:

SELECT \* FROM movies **PREFERRING** duration BETWEEN [170, 200]

- Return movies with duration in [170, 200]
- If such movies do not exist, return movies with duration closer to the interval limits

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## Employ Preference Operators: Summary

#### A taxonomy of approaches employing preference operators

		Implementation Level		
		Evaluation Techniques	Operator Translation	
Query Model	Best Answers	winnow, skyline [Chomicki 2002; Borzsonyi et al. 2001; Tan et al. 2001; Kossman et al. 2002; Papadias et al. 2003; Yuan et al. 2005; Pei et al 2005; Tao et al. 2006; Chan et al. 2006; Lin et al. 2007; Xia et al. 2008]	preference selection, grouped preference selection [Kiessling 2002; Kiessling and Kostler 2002]	
	Ranking	iterated winnow [Chomicki 2003; Torlone and Ciaccia 2203; Georgiadis et al. 2008; Drosou et al. 2009]		

Numerous evaluation methods for preference queries

- Only a few are implemented within the core of a database system

FlexPref: A framework for extensible preference evaluation in database systems

Integration with FlexPref: register the functions that implement a preference method

- Once integrated, the preference method "lives" at the core of the database

[Levandoski et al. 2010]

## **Preferential Query Processing**

Preferential query processing methods:

- Expand regular database queries with preferences
- Pre-compute rankings of database tuples based on preferences
- Top-k processing

Perform some pre-processing offline to make online processing of queries fast

#### <u>How</u>?

- Employ preferences to construct offline representative rankings
- At query time, select the relevant rankings and use them to report results

We organize existing approaches into:

- Context-based approaches
- Context-free approaches

### Pre-compute Rankings: Context-based Approaches

Pre-compute representative rankings of database tuples based on contextual preferences

But how the representative rankings are constructed?

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### Pre-compute Rankings: Context-based Approaches

[Agrawal et al. 2006]

- Construct a ranking for each set of preferences with the same context
- Maintain only a set of representative rankings

#### How to select the representative rankings?

Greedy Algorithm

- $\circ\,$  Begin from all rankings
- $\circ\,$  Remove at each step the ranking that is the most similar to the remaining ones

Furthest Algorithm

- $_{\odot}$  Select randomly a ranking
- $\circ$  At each step, pick the ranking which is furthest from the already selected ones
- Continue up to collect the desirable number of representative rankings

The distance between two rankings may be computed using either the <u>Spearman</u> <u>footrule</u> or the <u>Kendall tau distance</u>

### Pre-compute Rankings: Context-based Approaches

#### [Stefanidis and Pitoura 2008]

- Create groups of similar preferences
- Construct a ranking for each group

#### Which preferences are similar?

- Contextual clustering
  - Consider as similar the preferences with similar context
- Predicate clustering
  - Consider as similar the preferences with similar predicates and scores

### Pre-compute Rankings: Context-free Approaches

Such approaches employ <u>materialized preference views</u>

 Relational views ordered according to a preference, or scoring, function

<u>Main goal</u>: Locate the k results that maximize (or minimize) a combining preference function in a pipelined manner e.g., [Hristidis and Papakonstantinou 2004]

### Pre-computing Rankings: Summary

A taxonomy of pre-computing rankings approaches

		Context		
		Context-based	Context-free	
	Qualitative	[Agrawal et al. 2006]		
Formulation	Quantitative	[Stefanidis and Pitoura 2008; You and Hwang 2008]	[Hristidis and Papakonstantinou 2004; Das et al. 2006; Yi et al. 2003]	

## **Preferential Query Processing**

Preferential query processing methods:

- Expand regular database queries with preferences
- Pre-compute rankings of database tuples based on preferences
- Top-k processing

**Top-k query**: provide the k most important results

### Basic Idea

- Assign scores to all tuples based on a scoring function or an aggregation of a set of functions
- Report the k tuples with the highest scores

## **Top-k Processing**

Methods for compounding a set of rankings to an aggregate one:

### FA Algorithm

- $\circ\,$  Do sorted access to each ranking until there is a set of k tuples, such that each of these tuples has been seen in each of the rankings
- $\circ\,$  For each tuple that has been seen, do random accesses to retrieve the missing scores
- $_{\odot}$  Compute the aggregate score of each tuple that has been seen
- $\circ\,$  Rank the tuples based on their aggregate scores and select the top-k ones

[Fagin et al. 2001]

### TA Algorithm

Sorted access enables tuple retrieval in a descending order of their scores Random access enables retrieving the score of a specific tuple in one access

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$$S1 = \langle A 0.9, C 0.8, \underbrace{E}_{0.7}, B 0.5, F 0.5, G 0.5, H 0.5 \rangle$$
  

$$S2 = \langle B 1.0, \underbrace{E}_{0.8}, F 0.7, A 0.7, C 0.5, H 0.5, G 0.5 \rangle$$
  

$$S3 = \langle A 0.8, C 0.8, \underbrace{E}_{0.7}, B 0.5, F 0.5, G 0.5, H 0.5 \rangle$$

Which is the top-1 item?

Compute aggregate scores for A, B, C, E, F

#### Note:

FA is correct when the aggregate tuple scores are obtained by combining their individual scores using a monotone function

## **Top-k Processing**

Methods for compounding a set of rankings to an aggregate one:

FA Algorithm

### TA Algorithm

- Do sorted access to each ranking: For each tuple seen, do random accesses to retrieve their missing scores
- Compute the aggregate score of each tuple that has been seen, rank the tuples based on their aggregate scores and select the top-k ones
- Stop to do sorted accesses when the aggregate scores of the k tuples are at least equal to a threshold value
  - Threshold value: the aggregate score of the scores of the last tuples seen in each ranking

[Fagin et al. 2001; Nepal and Ramakrishna 1999; Guntzer et al. 2000]

Sorted access enables tuple retrieval in a descending order of their scores Random access enables retrieving the score of a specific tuple in one access S1 = < A 0.9,</td>C 0.8,E 0.7,B 0.5,F 0.5,G 0.5,H 0.5 >S2 = < B 1.0,</td>E 0.8,F 0.7,A 0.7,C 0.5,H 0.5,G 0.5 >S3 = < A 0.8,</td>C 0.8,E 0.7,B 0.5,F 0.5,G 0.5,H 0.5 >

Which is the top-1 item?

Step1: score(A) = 0.9 + 0.7 + 0.8 = 2.4 score(B) = 0.5 + 1.0 + 0.5 = 2.0threshold\_value = 0.9 + 1.0 + 0.8 = 2.7 Continue since 2.7 > 2.4 Step2: score(C) = 0.8 + 0.5 + 0.8 = 2.1 score(E) = 0.7 + 0.8 + 0.7 = 2.2threshold\_value = 0.8 + 0.8 + 0.8 = 2.4 Stop since score(A) = threshold\_value

The stopping condition of TA occurs at least as early as the stopping condition of FA

<u>Above</u>: Aggregate rankings that contain the same set of tuples

- The produced ranking consists of the same tuple set

### Top-k Joined Tuples

Report the k joined tuples with the largest interest scores

- Tuples of different rankings are joined w.r.t. specific join conditions
- Each tuple has a score computed from the scores of the participating tuples

[Natsev et al. 2001; Ilyas et al. 2004]

### Top-k Groups of Tuples

Report the k groups of tuples with the largest interest scores

- Scores are computed using a group aggregation function

[Li et al. 2006]

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#### A taxonomy of top-k query processing techniques

		Implementation Level		
		Application level	Within engine	
	Top-k tuples	[Fagin et al. 2001; Nepal and Ramakrishna 1999; Guntzer et al. 2000]		
Query Model	Top-k joined tuples	[Natsev et al. 2001]	[Ilyas et al. 2004]	
	Top-k groups of tuples		[Li et al. 2006]	

## **Tutorial Overview**



### **Preference Representation**



**Preference Composition** 



Preferential Query Processing



### **Preference Learning**

## **Preference Learning**

### Model Learnt

- Pairwise orderings (i.e., qualitative preferences)
- Utility function (i.e., quantitative preferences)

## **Preference Learning**

### Input

- Positive examples
- Explicit feedback

- Negative examples
- Implicit feedback

## **Preference Learning**

### Method

Association rule mining

#### Clustering

Classification

#### Holland et al. [2003]

Input: User logs, no explicit ranking information x is preferred over y, if and only if, freq(x) > freq(y).

#### <u>Model learnt</u>

Preferences between values of individual attributes are used to infer positive and negative preferences, numerical preferences and complex preferences [Kießling 2002].

<u>An important assumption</u>, for learning negative preferences or dislikes, is the close world assumption indicating that a user knows all possible values of an attribute.

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[Jiang et al. 2008], [Wong et al. 2007]

Model Learnt: a preference relation in the form of partial order

**Input**: set of superior and inferior examples

Output: a strict partial order, such that, every item is dominated by at least one item in the set of superior examples and it is not dominated by any other item in the set of inferior examples. [Cohen et al. 1999]

Input: Feedback that an item should be ranked higher than another.

<u>Model</u>: *Pref* ( $i_1$ ;  $i_2$ ), *Pref* : I x I  $\rightarrow$  [0; 1], returns a value indicating which item is ranked higher.

Learning: At each round, items are ranked with respect to *Pref*. Then, the learner receives feedback from the environment. Given that *Pref* is a weighted linear combination of *n* primitive functions, at each round the weights are updated with respect to the user feedback and loss, where loss is the normalized sum of disagreements between function and feedback.

- Preference Representation
- Preference Composition
- Preferential Query Processing
- Preference Learning

### Preference Representation

Existing methods are divided into qualitative and quantitative Existing methods are divided into qualitative and quantitative

# A holistic preference representation approach is missing Preferential Query Processing Complete understanding of user preferences is missing - (psychology?)

**Reference**r**lieanning**hembership, uncertain, ...)

#### Preference Representation

#### Preference Composition

- Existing works follow a uniform approach to representation and composition
- Qualitative composition applies to preferences represented in either way
- □Most approaches deal with tuple-to-tuple preference composition
- There are combinations that have not been touched at all
- Can composition be used as a means to resolve conflicts?
- Preferential Query Processing
- Preference Learning

#### Preference Representation

Preference Composition

#### Preferential Query Processing

An approach for matching both internal and external preference context to query context is missing

Approaches that deal with instance and semantic applicability are missing

Embed preferences in the database

□Query + Preferences = ?

#### Preference Learning

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- Preference Representation
- Preference Composition
- Preferential Query Processing

#### Preference Learning

Learning preferences following db-specific models is highly unexplored

Learning context-aware and privacy-aware preferences (too)

Sufficient information for deriving user preferences is missing

# **Future Directions**

• Hybrid preference models

Combining qualitative and quantitative aspects

• Group preferences

Merging individual preferences [Amer-Yahia et al. 2009]

• Social preferences

User preferences over the social graph

# **Future Directions**

• Leveraging the wisdom of crowds

Learning preferences

• Preference-aware query engine Making preferences first-class citizens Holistic optimizer

# The End

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